



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: John H. Burton et al.

Examiner:

R. Kearney

Serial No.:

09/477,977

Group Art Unit:

3739

Filed:

January 05, 2000

Docket:

825.001US2

Title:

IMPLANTABLE DEVICE AND METHOD FOR ADJUSTABLY RESTRICTING

A BODY LUMEN

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 et. seq., the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicants respectfully request that this Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicants request that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicants with the next official communication.

Pursuant to 37 C.F.R. §1.97(b), it is believed that no fee or statement is required with the Information Disclosure Statement. However, if an Office Action on the merits has been mailed, the Commissioner is hereby authorized to charge the required fees to Deposit Account No. 19-0743 in order to have this Information Disclosure Statement considered.

Serial No :09/477,977

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The Examiner is invited to contact the Applicants' Representative at the below-listed telephone number if there are any questions regarding this communication.

Pursuant to 37 C.F.R. 1.98(a)(2), Applicant believes that copies of cited U.S. Patents and Published Applications are no longer required to be provided to the Office. Notification of this change was provided in the United States Patent and Trademark Office OG Notices dated October 12, 2004. Thus, Applicant has not included copies of any US Patents or Published Applications cited with this submission. Should the Office require copies to be provided, Applicant respectfully requests that notice of such requirement be directed to Applicant's below-signed representative. Applicant acknowledges the requirement to submit copies of foreign patent documents and non-patent literature in accordance with 37 C.F.R. 1.98(a)(2).

Respectfully submitted,

JOHN H. BURTON ET AL.

By their Representatives,

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CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: MS RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 16TH day of January, 2006.

TIMOTHY E. BIANCHI

Name

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Approved for use through 10/31/2002. OMB 651-0031
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tion of Information unless it contains a valid OMB control number. for form 1449A/PTO SUBSURFICION DISCLOSURE Complete if Known **Application Number** 09/477,977 STATEMENT BY APPLICANT January 5, 2000 Filing Date (Use as many sheets as necessary) **First Named Inventor** Burton, John 3739 **Group Art Unit** Kearney, R. **Examiner Name** Attorney Docket No: 825.001US2 Sheet 1 of 2

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**EXAMINER** 

**DATE CONSIDERED** 



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Substitute for form 1449A/PTO	Complete if Known		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Application Number	09/477,977	
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	First Named Inventor	Burton, John	
	Group Art Unit	3739	
	Examiner Name	Kearney, R.	
Sheet 2 of 2	Attorney Docket No: 8	ney Docket No: 825.001US2	

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OTHER DOCUMENTS NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²		